

实用专利或设计专利申请声明 (37 CFR 1.63) DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	
	First Named Inventor	LIU, Changling
	Application Number	10/573,629
	Filing Date	March 24, 2006
	Art Unit	
<input type="checkbox"/> 初次备案时提交的声明 Declaration Submitted With Initial Filing	OR	<input checked="" type="checkbox"/> 初次备案后提交的声明 (需 要支付附加费 (37 CFR 1.16(f))) Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)
Examiner Name		

本人兹此声明: (1) 每位发明人的住址、邮寄地址及公民身份即是下文他们姓名旁边所列出之信息; 及

(2) 本人相信以下指明的发明人是本主题发明的最初和第一位发明人, 该发明人对所标序的发明主张权利并请求获得专利;

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and
(2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention filed.

Benzopyrone Compounds, Preparation Method and Use Thereof

(发明名称)
(Title of the invention)

该发明的申请
the application of which

A ☐ 如下所列
is attached hereto

或者 OR

B ☒ 于 (月/日/年) _____ 备案为美国专利申请或 PCT 国际

申请号码 PCT/CN2004/001255 并于 (月/日/年) _____ 修订 (如适用)。
was filed on (MM/DD/YYYY) Nov. 04, 2004 as United States Application Number or PCT International
Application Number 10/573,629 and was amended on (MM/DD/YYYY) May 4, 2009 (if applicable).

本人兹此声明本人已经审阅并理解根据上文所述的任何修订文件所进行的上述规定内容, 包括权利主张。

I hereby state that I have reviewed and understand the contents of this above identified specification, including the claims, as amended by any amendment specifically referred to above.

本人承认有义务披露对专利性具有重要作用的信息, 如 37 CFR 1.56 所定义, 包括部分继续申请的信息, 及在之前申请的备案日期与部分继续申请
案的备案或 PCT 国际备案日期之间可得到的信息。

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part
applications, material information which became available between the filing date of the prior application and the national or PCT international filing
date of the continuation-in-part application.

[第 1 页, 共 1 页]

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The collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. This information is required to obtain or retain a benefit by the public which is to file (and by the
USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 132 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete,
including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount
of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.
Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner
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声明 — 实用专利或设计专利申请

DECLARATION — Utility or Design Patent Application

参与办公室允许查阅专利申请的授权书

Authorization To Permit Access To Application by Participating Offices

☒ 如果勾选此方格，下文签署者在此授予 USPTO 权力，向欧洲专利局 (EPO)、日本专利局 (JPO)、韩国知识产权局 (KIPO)、世界知识产权办公室 (WIPO) 及任何其它知识产权办公室 (对上述专利申请主张拥有优先权的外国申请所备案之处) 查阅上述专利申请。请参见 37 CFR 1.14(c) 和 (h)。如果申请人不希望 EPO、JPO、KIPO、WIPO 或其它知识产权办公室 (对上述专利申请主张拥有优先权的外国申请所备案之处) 查阅上述专利申请，则不要勾选此方格。

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to this above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

根据 37 CFR 1.14(h)(3) 的规定，对一份上述专利申请的查阅将涉及以下方面：1) 上述备案的专利申请；2) 任何外国申请 (如果某个满足 37 CFR 1.55 或该副本备案的外国申请已经在上述专利申请中备案，上述专利申请根据 35 U.S.C. 119(a)-(d) 对其主张优先权)；及 3) 在上述专利申请中要求利益的任何美国备案申请。

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

根据 37 CFR 1.14(c) 的规定，可以查阅关于参与办公室允许查阅申请的授权书的备案日期。

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

外国优先利益主张

Claim of Foreign Priority Benefits

本人兹此根据 35 U.S.C. 119(a)-(d) 或 (f)，或 365(b) 的规定，对任何外国专利申请、发明人或植物育种者的权利证书主张外国优先利益，或根据 365(a) 的规定对指定除了美国以外至少一个国家的任何 PCT 国际申请主张外国优先利益，如下所列并已确认，通过勾选方格，对任何外国专利申请、发明人或植物育种者的权利证书，或在对本申请主张优先权之日之前备案的任何 PCT 国际申请主张外国优先利益。

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

之前的外国申请号码 Prior Foreign Application Number(s)	国家 Country	外国备案日期 (月/日/年) Foreign Filing Date (MM/DD/YYYY)	未主张的优先权 Priority Not Claimed	是否附上核准副本? Certified Copy Attached?	
				是 YES	否 NO
200310105079.6	China	Nov. 11, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ 本文所附的补充优先权数据表 PTO/SB/02B 上列出了附加的外国申请号码。

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

声明 — 实用专利或设计专利申请
DECLARATION — Utility or Design Patent Application

我申请享受被美国法规第35条119(e)列出的以下任何美国临时申请的利益。

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(申请序号续项)
(Application No.)

(filing Date)

(申请序号续项)
(Application No.)

(申请日期)
(Filing Date)

我申请享受按照美国法规第三十五条一百二十条或365条(c)项列出任何PCT国际申请所指定的美国列出的以下任何美国申请的利益。如果此申请中提出的每项权利要求的标题未按照美国法规或是PCT国际申请第三十五条第一百二十条第一段的要求在以前的美国申请中披露，则我有责任按照联邦法规第三十七节第一、五六(甲)条提供支持专利权的实质性资料。这一法规条文生效于以前申请的立案日期之后，但在美国或PCT国际申请立案日期之前。

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(申请序号续项)
(Application No.)

(申请日期)
(Filing Date)

(状态)
(Status) (patented, pending, abandoned)
(已授权专利、申请中、撤回)

(申请序号续项)
(Application No.)

(申请日期)
(Filing Date)

(状态)
(Status) (patented, pending, abandoned)
(已授权专利、申请中、撤回)

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警告：
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声明 —— 实用专利或设计专利申请

DECLARATION — Utility or Design Patent Application

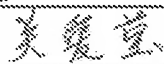
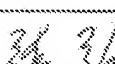
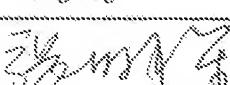
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

唯一或第一发明人的姓名： NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> 已为此未签名发明人备了一份呈请书 A petition has been filed for this unsigned inventor	
名字 (首名字和中间名[如有的话]) Given Name (first and middle [if any])		姓氏 Family Name or Surname	
Changling		LIU	
发明人签名 Inventor's Signature		日期 Date	
		Sep. 17, 2009	
住址：城市 Residence: City	Shenyang	州 State	国家 Country
	Liaoning		China
公民身份 Citizenship	CN		
邮寄地址 Mailing address			
8 Shentiaodong Road, Tixi District, Shenyang, Liaoning, China			
城市 City	Shenyang	州 State	国家 Country
	Liaoning		China
邮编 Zip	110021		
<input checked="" type="checkbox"/> 本文附带的... 补充表 PTO/GB/02A 或 02LR 中说明了其他发明人依法代表。 Additional inventor or a legal representative are being named on the... supplemental sheets PTO/GB/02A or 02LR attached hereto.			

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental SheetPage 5 of 7

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Aiyang		GUAN	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address 8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Hong		ZHANG	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address 8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mingxing		ZHANG	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address 8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China

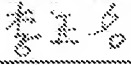
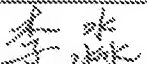
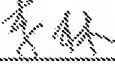
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DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 6 of 7

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Zhengming		LI	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address			
8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Miao		LI	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address			
8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lin		LI	
Inventor's Signature 		Date Sep. 17, 2009	
Residence: City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address			
8 Shenliadong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China

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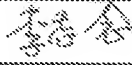
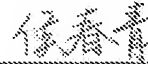
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DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 7 of 7

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Zhinian		LI	
Inventor's Signature 		Date Sep 17, 2009	
Residence, City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address 5 Shenniaodong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Chunqing		HOU	
Inventor's Signature 		Date Sep 17, 2009	
Residence, City	Shenyang	State	Liaoning
		Country	China
		Citizenship	CN
Mailing Address 8 Shenniaodong Road, Tiexi District, Shenyang, Liaoning, China			
City	Shenyang	State	Liaoning
		Zip	110021
		Country	China
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence, City		State	
		Country	
		Citizenship	
Mailing Address			
City		State	
		Zip	
		Country	

This collection of information is required by 35 U.S.C. 116 and 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is to be used by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.31 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1458, Alexandria, VA 22315-1458. DO NOT SEND FREE OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1458, Alexandria, VA 22315-1458.

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